

Franklin Templeton Funds II

Application Form (for Class X Shares)



**FRANKLIN
TEMPLETON**

Please fill in the whole form in BLOCK CAPITALS using black or blue ink and send it to:
Franklin Templeton, PO Box 563, Darlington, DL1 9ZF

1. SHAREHOLDER DETAILS

Surname (Mr/Mrs/Ms/Miss/Other)

Full Forename(s)

Permanent Residential Address

(we are unable to accept a c/o or PO Box address)

Postcode

Nationality

Occupation

Town/City of Birth

E-mail Address

Contact Telephone Number

Date of Birth (dd/mm/yyyy)*

Investor Type ^

SECOND APPLICANT (if applicable)

Surname (Mr/Mrs/Ms/Miss/Other)

Full Forename(s)

Permanent Residential Address

(we are unable to accept a c/o or PO Box address)

Postcode

Nationality

Occupation

Contact Telephone Number

Town/City of Birth

E-mail Address

Contact Telephone Number

Date of Birth (dd/mm/yyyy) *

Investor Type ^

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1. SHAREHOLDER DETAILS (continued)

Tax regulations¹ require us to collect information about each investor's tax residency². In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with Her Majesty's Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions³.

Please indicate all countries (other than the UK) in which you are resident for tax purposes and the associated Tax Identification Numbers in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number. **If you are an entity, please complete the "Entity Self-Certification" details appended to this form.**

Country	
Tax Identification No. *	

Country	
Tax Identification No. *	

Country	
Tax Identification No. *	

Country	
Tax Identification No. *	

By signing this application form, you agree to provide Franklin Templeton Fund Management Limited or its delegates with such tax documentation or information (in addition to the above) as requested from time to time and authorise Franklin Templeton Fund Management Limited to act upon the above information in good faith, including disclosing or submitting such information to the relevant tax authorities.

If you have any questions about your tax residency², please contact your tax adviser

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2. BENEFICIAL OWNERSHIP

This section must be completed.

Are you the beneficial owner of this investment?

yes no

(if you answer "no" you are required to provide the full details of the beneficial owner(s) in the boxes below).

Beneficial Owner(s)

Address

Postcode

Date of Birth (dd/mm/yyyy) *

Nationality

Town/City of Birth

Occupation

Beneficial Owner(s)

Address

Postcode

Date of Birth (dd/mm/yyyy) *

Nationality

Town/City of Birth

Occupation

[^] e.g. (i) person (ii) regulated occupational pension, (iii) regulated personal pension, (iv) local authority pension scheme, (v) unregulated UK & Irish pension, (vi) incorporated registered charity, (vii) unincorporated registered charity, (viii) unregistered charity, (ix) trust, (x) UK and EEA regulated firm, (xi) nominee – regulated parent, (xii) nominee – unregulated parent, (xiii) public limited company, (xiv) private corporate, (xv) independent school/university, (xvi) public sector/local authority, (xvii) UK church or place of worship, (xviii) subject to statutory licensing, (xix) partnership/unincorporated, (xx) club or society, (xxi) sovereign wealth fund, (xxii) parochial church council, (xxiii) non UK/EEA regulated firm, (xxiv) other/unclassified (please specify)..

* If you are a UK Tax resident and not a tax resident anywhere else and also not a US citizen, you are not required to provide details of your 'Tax Identification Number' or 'Date of Birth', or if you are not resident in a jurisdiction that is reportable under CRS or FATCA and also not a US citizen, you are not required to provide your 'Tax Identification Number' or 'Date of Birth'.

¹ The term "tax regulations" refers to the International Tax Compliance Regulations 2015 which implements the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).

² In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If in doubt, please contact your tax adviser.

³ Those countries that have agreed to exchange information under FATCA and the CRS¹.

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3. INVESTMENT DETAILS

I wish to purchase Class X Shares in the following funds as indicated below as soon as practical following receipt of this Application Form. The minimum investment is £3,000 per fund.

Fund	ISIN Code	Lump Sum
FTF Brandywine Global Income Optimiser Fund (Accumulation)	GB00BN31ZJ42	£ min 3,000
FTF Brandywine Global Income Optimiser Fund (Income)	GB00B3XX1N61	£ min 3,000
FTF ClearBridge Global Equity Income Fund (Accumulation)	GB00B82FVZ02	£ min 3,000
FTF ClearBridge Global Equity Income Fund (Income)	GB00B85JH625	£ min 3,000
FTF ClearBridge Global Infrastructure Income Fund (Income) (Hedged)	GB00BD3FVS79	£ min 3,000
FTF ClearBridge Global Infrastructure Income Fund (Income)	GB00BZ01WT03	£ min 3,000
FTF ClearBridge Global Infrastructure Income Fund (Accumulation)	GB00BZ01WV25	£ min 3,000
FTF ClearBridge Global Infrastructure Income Fund (Accumulation) (Hedged)	GB00BD3FVT86	£ min 3,000
FTF ClearBridge US Value Fund (Accumulation)	GB00B8F2KD97	£ min 3,000
FTF ClearBridge US Equity Income Fund (Accumulation)	GB00B5KP3337	£ min 3,000
FTF ClearBridge US Equity Income Fund (Income)	GB00B3NQ7J33	£ min 3,000
FTF ClearBridge US Equity Income Fund (Income) (Hedged)	GB00B743CW91	£ min 3,000
FTF Martin Currie Asia Unconstrained Fund (Accumulation)	GB00BKT6XV05	£ min 3,000
FTF Martin Currie Asia Unconstrained Fund (Income)	GB00BKT6XW12	£ min 3,000
FTF Martin Currie Emerging Markets Fund (Accumulation)	GB00BVZ6TY69	£ min 3,000
FTF Martin Currie European Unconstrained Fund (Accumulation)	GB00BVZ6V174	£ min 3,000
FTF Martin Currie European Unconstrained Fund (Income)	GB00BVZ6V281	£ min 3,000
FTF Martin Currie Global Unconstrained Fund (Accumulation)	GB00BVZ6V737	£ min 3,000
FTF Martin Currie Global Unconstrained Fund (Income)	GB00BVZ6V844	£ min 3,000
FTF Martin Currie US Unconstrained Fund (Accumulation) (Hedged)	GB00BVZ6VG26	£ min 3,000
FTF Martin Currie US Unconstrained Fund (Accumulation)	GB00BVZ6VF19	£ min 3,000
FTF Franklin Systematic UK Equity Fund (Accumulation)	GB00B89R1486	£ min 3,000
FTF Royce US Smaller Companies Fund (Accumulation)	GB00B8BRMK99	£ min 3,000
FTF Royce US Smaller Companies Fund (Accumulation) (Hedged)	GB00B8KFN346	£ min 3,000
FTF Western Asset Global Multi Strategy Bond Fund (Accumulation)	GB00BGGJHH84	£ min 3,000
FTF Western Asset Global Multi Strategy Bond Fund (Income)	GB00B8267221	£ min 3,000
FTF Western Asset Retirement Income Bond Fund (Accumulation)	GB00BY7R2R27	£ min 3,000
FTF Western Asset Retirement Income Bond Fund (Income)	GB00B88V5W72	£ min 3,000
TOTAL		£ min 3,000

Please ensure that monies are transferred by CHAPS, TT or Faster Payment to the account details below. Subscriptions and redemptions in the Franklin Templeton Funds II must be settled on or before the fourth business day after the relevant dealing day.

Beneficiary Bank	HSBC Bank plc
Address	2nd Floor, 62-76 Park Street, London SE1 9DZ
Sort Code	40-02-50
Account name	Franklin Templeton Fund Management Limited Dealing Account
Account number	11437372
Deal ref. (if known)	
IBAN Code	GB38MIDL40025011437372

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4. INCOME AND REDEMPTION PAYMENTS

INCOME

Should you wish income to be paid to you please tick the box and complete your bank or building society details below. Alternatively, where applicable or if your bank or bank society details are not provided, any income will be automatically reinvested for you.

Please pay income to the account below.

REDEMPTIONS

Please complete your bank or building society details below to allow us to pay any future redemption proceeds to your bank or building society account.

Name of bank or Building Society

Address

Postcode

Account Name

Account Number

Branch Sort Code

Roll Number (where applicable)

5. REQUIRED CUSTOMER DUE DILIGENCE (“CDD”) DOCUMENTATION

We are required to comply with the money laundering regulations in force in the United Kingdom, and this requires us to verify your identity and other information including, but not limited to, beneficial ownership, sources of wealth and sources of funds. Customer due diligence documentation must be received as per the below requirements prior to your investment being accepted. Electronic verification will be attempted where applicable, however in the result that this is unsuccessful, or documentation received is not acceptable, your investment will be rejected.

The following CDD documentation requirements are for the specified entity client types in an EU Member State or equivalent jurisdiction. Applicants from certain jurisdictions may be required to provide further documentation on request. For more information regarding CDD, please contact the Administrator at UKTAFinancialCrimeTeam@bnymellon.com.

Any copies of original documents must be certified by a suitable person. The certifying person should sign and date the document and include their name, address and professional registration number (if applicable). Suitable persons include:

UK Clients

- accountant or accountancy firm
- bank or building society official
- independent financial adviser (IFA)
- post office official
- practicing solicitor or solicitor firm
- police officer
- medical professional
- designated persons, as defined under the relevant anti-money laundering legislation (“AML”) in an EU Member State, or equivalent jurisdiction

Non-UK Clients

- notary public
- lawyer or attorney
- embassy or consular official

Any documents not in English must be accompanied by a full and accurate English translation. The translator must provide their name and contact details and certify the translation as accurate.

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5. REQUIRED CUSTOMER DUE DILIGENCE (“CDD”) DOCUMENTATION (continued)

Entity Type	Customer Due Diligence (“CDD”) Requirements
Individual client living in the UK	<p>Two different documents, one to evidence name and a different document to verify address</p> <p>a) Name Verification (all original or certified copies)</p> <ul style="list-style-type: none"> • A passport (must be valid) • A photo card driving licence (must be valid) • An old-style paper driving licence (must be the full licence not a provisional) • A state pension letter (dated within the last 12 months) • A benefit entitlement letter (dated within the last 12 months) • An HMRC Tax Notification/Assessment letter (dated within the last 12 months) <p>b) Address Verification (all original or certified copies)</p> <ul style="list-style-type: none"> • A photo card driving licence (must be valid) • An old-style paper driving licence (must be the full licence not a provisional) • A state pension letter (dated within the last 12 months) • A benefit entitlement letter (dated within the last 12 months) • An HMRC Tax Notification/Assessment letter (dated within the last 12 months) • A bank or building society statement (dated within the last 3 months) • A mortgage statement (dated within the last 12 months) • A council tax bill (for the current year) • A utility bill (dated within the last 3 months)
Individual client living out-with the UK	<p>Two different documents, one to evidence name and a different document to verify address</p> <p>a) Name Verification (all original or certified copies)</p> <ul style="list-style-type: none"> • A passport (must be valid) • A driving licence (must be valid) • A National ID card (must be valid) <p>b) Address Verification (all original or certified copies). Please note evidence of a PO Box address will not be accepted.</p> <ul style="list-style-type: none"> • A driving licence (must be valid) • A National ID card (must be valid) • A bank or building society statement (dated within the last 3 months) • A utility bill (dated within the last 3 months) • Tenancy agreement
Listed Company	<p>A listed company whose securities are admitted to trading on a regulated market based in an EU Member State or equivalent jurisdiction.</p> <ul style="list-style-type: none"> • Please provide the name of the Stock Exchange • An original or certified authorised signatory list
Regulated Creditor Financial Institution	<p>Regulated in an EU Member State or equivalent jurisdiction</p> <ul style="list-style-type: none"> • Please provide URL to relevant website evidencing the entry in the regulatory authority's web-site • An original or certified authorised signatory list
Collective Investment Scheme (“CIS”)	<p>Not regulated in an EU Member State or equivalent jurisdiction</p> <ul style="list-style-type: none"> • Prospectus or offering memorandum which will confirm full name of CIS, registered address of CIS, name and address of scheme promoter, name and address of scheme administrator, name and address of entity carrying out anti-money laundering checks upon the scheme investors and confirmation that entity is regulated for AML purposes • Names of beneficial owners of the CIS (holding greater than 25%) • An original or certified authorised signatory list
Public Body	<p>A Public Body in an EU Member State or equivalent jurisdiction</p> <ul style="list-style-type: none"> • Please provide URL to relevant website detailing status as a Public Body • An original or certified authorised signatory list <p>Examples of institutions falling into this category include County Councils, Local Authorities, the European Central Bank, The European Investment Bank, the European Environment Agency, the public body is either accountable to an institution of the European Communities or to a public authority of a Member State</p>
Non-UK Public Body	<p>A Public Body not in an EU Member State or equivalent jurisdiction</p> <ul style="list-style-type: none"> • Please provide URL to relevant website detailing status as a Public Body • Please confirm the name of the home state authority • Please provide the names of all directors: • Original or certified copy of the constitutional documentation • Names of main public body officials • An original or certified authorised signatory list

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5. REQUIRED CUSTOMER DUE DILIGENCE (“CDD”) DOCUMENTATION (continued)

Entity Type	Customer Due Diligence (“CDD”) Requirements
Pension Schemes	<p>A Pension Scheme providing Retirement Benefits to Employees in an EU Member State or equivalent jurisdiction (SSAS Pensions):</p> <ul style="list-style-type: none"> • Please provide evidence of the registration with the HMRC or Pension Regulator, which also provides the HMRC registered number • If a fund sponsor or Corporate trustee is being used to act on behalf of the pension scheme, please provide their name: • A Pension Scheme providing Retirement Benefits to Employees in an EU Member State or equivalent jurisdiction (SIPP Pensions): • Please provide the name of the operation and confirmation of the URL which confirms their regulated status: • Please provide the name of all individual trustees: • An original or certified authorised signatory list
Non-UK Pension Schemes	<p>A Pension Scheme providing Retirement Benefits to Employees not in an EU Member State or equivalent jurisdiction</p> <ul style="list-style-type: none"> • Original or certified evidence of legal form • Original or certified copy of approval document from the tax authority • An original or certified authorised signatory list
Private Trust	<ul style="list-style-type: none"> • Original or certified copy of the trust deed • Verify the identity of all trustees • Verify the identity of any beneficial owners with more than 25% interest • List of the all beneficiaries of the trust (may be located in the trust deed) • Where the beneficiary is a corporate entity, obtain details of the ultimate beneficial owner (if applicable) (may be located in the trust deed) • An original or certified authorised signatory list
Private Company (Unlisted Corporate Entity)	<ul style="list-style-type: none"> • Confirmation of the full company name • Confirmation of the registered number • Verify the identity of all shareholders or limited partners with more than 25% interest • An original or certified authorised signatory list
Partnership	<ul style="list-style-type: none"> • Original or certified copy of the partnership agreement or appropriate excerpts • For any partners or owners with more than 25% interest, name and address verification must be provided • List all the beneficiaries of the partnership - this information may be located in the partnership agreement. Where the beneficiary is a corporate entity, obtain details of the ultimate beneficial owner of that entity (if applicable). • An original or certified authorised signatory list
Registered Charity / Foundation	<ul style="list-style-type: none"> • Original or certified copy approval from the tax authority • Proof of registration status from the relevant charity commission or equivalent • Verify the identity of all signatories as well as a minimum of two trustees • Please provide the names of any beneficiaries and confirm the percentage they own • An original or certified authorised signatory list
Unregistered Charity / Foundation	<ul style="list-style-type: none"> • Original or certified copy of the Constitution/Formation document or original or certified copy of the audited accounts • Names of trustees/directors/board members or equivalent • Verify the identity of two trustees/directors/board members or equivalent, or one trustee/director/board member or equivalent and one authorised signatory in line with the procedures for identifying an individual investor • Names of beneficiaries (if applicable) • An original or certified authorised signatory list

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6. ATTESTATION AS TO SOURCE OF WEALTH AND SOURCE OF FUNDS

This section must be completed.

A. SOURCE OF WEALTH (Source of Wealth refers to the origin of the entire wealth of the client)

I hereby confirm that my overall source of wealth derives from (please check all that apply):

- A. Employment Income
- B. Inheritance
- C. Sale of Real Estate
- D. Sale of securities investment
- E. Other (please provide details)

B. SOURCE OF FUNDS (Source of Funds refers to the origin of the funds relating to the particular investment)

I hereby confirm that the source of funds for this investment derives from:

- A. Employment Income
- B. Inheritance
- C. Sale of Real Estate
- D. Sale of securities investment
- E. Other (please provide details)

DECLARATION

I hereby declare that the funds used to fund my investment with you originate as per the information given above.

Signature: _____

Signature of the Applicant/Signature of Regulated Broker on behalf of Applicant

Date: _____

If you are a non-UK resident, please provide evidence as per the appropriate section in relation to the source of funds and source of wealth attestations made above.

Employment Income

Job description:

Nature of employer's business:

One or more of the following also needs to be provided – previous month's payslip, latest accounts if self-employed, bank statement showing salary payment for previous month. All documents are required to be certified by a suitable person.

Inheritance

Relation to benefactor:

Date inheritance received:

Amount received:

A Grant of Probate (with a copy of the will) must include the value of the estate, or bank statements or solicitor's letter must be provided as evidence. All documents are required to be certified by a suitable person.

6. ATTESTATION AS TO SOURCE OF WEALTH AND SOURCE OF FUNDS *(continued)*

Sale of Real Estate

Date property was sold:

Sale amount:

A signed letter from a solicitor or completed sale contract must be provided as evidence. Any document received is required to be certified by a suitable person.

Sale of securities investment

Type of security:

Date of sale:

How long investment(s) was held:

A legal sale document (s) (e.g. contract note) must be provided as evidence.

Other

Other types of source of funds may include the following (list not exhaustive):

- Maturing investments or encashment claim. A letter/contract note from the previous investment company giving notification of the proceeds needs to be provided as evidence.
- Company sale or sale of an interest in a company. A signed letter from a solicitor, or accountant, or copies of media coverage must be provided as evidence.
- Loans received. The loan agreement must be provided as evidence.
- Gifts received. A letter from the donor confirming the details of the gift and acknowledging the source of the donated funds must be provided as evidence.
- Competition or gambling win. A letter from the relevant organization (Casino or betting shop) or copies of the media coverage (if appropriate) must be provided as evidence.
- Compensation payment. A letter or court order from a compensating body or solicitor's letter must be provided as evidence.
- Company profits. A copy of the latest accounts or letter from a bona fide accountant giving details of the company profits over the last 12 months should be provided as evidence.

Please note that any documentation received must be certified by a suitable person (see section 5 for information on suitable people).

7. DATA PROTECTION NOTICE

Franklin Templeton Fund Management Limited (FTFML) is a data controller within the meaning of the Data Protection Act 1998, the EU Data Protection Directive 95/46/EC, the EU ePrivacy Directive 2002/58/EC, the General Data Protection Regulation (Regulation (EU) 2016/679) and any relevant transposition of, or successor or replacement to, those laws (the "Data Protection Legislation"). We will hold any personal information provided to us in confidence and in accordance with the Data Protection Legislation.

Any information that you supply will be used in the following ways:

- (i) to manage and administer your holding in FTFML and any related accounts on an ongoing basis as required for the performance of the contract between FTFML and the investor;
- (ii) for any other specific purposes where you have given specific consent. Such consent may be subsequently withdrawn by you at any time, without affecting the lawfulness of processing based on consent before its withdrawal;
- (iii) to carry out statistical analysis (including data profiling) and market research in FTFML's legitimate business interest;
- (iv) to comply with legal and regulatory obligations applicable to the investor, and FTFML;
- (v) to be used in connection with disputes, in order to protect the legitimate interests of FTFML; or
- (vi) for disclosure or transfer, whether in the UK or countries outside the UK, including without limitation the US, which may not have the same data protection laws as the UK, to third parties including financial advisers, regulatory bodies, auditors, technology providers or to FTFML and its delegates and its or their duly appointed agents and any of their respective related, associated or affiliated companies for the purposes specified above as required for the performance of the contract between FTFML and you or as needed in FTFML's legitimate business interest.

An investor's personal data may be disclosed by FTFML to its delegates and service providers (including Investment Managers, Sub-Investment Managers, Distributors, Dealers, Shareholder Servicing Agents, the Administrator and the Depositary), its duly authorised agents and any of its respective related, associated or affiliated companies, professional advisors, regulatory bodies, auditors and technology providers for the same purpose(s).

Investors' personal data may be transferred to countries which may not have the same or equivalent data protection laws as the UK. If such transfer occurs, FTFML will ensure that such processing of such personal data complies with Data Protection Legislation and, in particular, that appropriate measures are in place, such as entering into Model Contractual Clauses (as published by the European Commission) or ensuring that the recipient is Privacy Shield certified, if appropriate. If investors require more information on the means of transfer of their data or a copy of the relevant safeguards, please contact the Administrator, by email at FTF@bnymellon.com, or by phone at 0330 123 3790 (overseas +44 203 528 4140).

Pursuant to the Data Protection Legislation, investors have several rights which they may exercise in respect of their personal data, namely:

- (i) the right of access to personal data held by FTFML;
- (ii) the right to amend and rectify any inaccuracies in the personal data held by FTFML;
- (iii) the right to erase the personal data held by FTFML;
- (iv) the right to data portability of the personal data held by FTFML; and
- (v) the right to request restriction of the processing of the personal data held by FTFML.

In addition, investors have the right to object to processing of personal data by FTFML.

The above rights will be exercisable by investors subject to limitations as provided for in the Data Protection Legislation. Investors may make a request to FTFML to exercise these rights by contacting the Administrator, by email at FTF@bnymellon.com, or by phone at 0330 123 3790 (overseas +44 203 528 4140).

Please note that investors' personal data will be retained by FTFML for the duration of their investment and 7 years from the date on which you are no longer an investor otherwise in accordance with FTFML's legal obligations including, but not limited to, FTFML's record retention policy.

FTFML is a data controller within the meaning of the Data Protection Legislation and undertakes to hold any personal information provided by the investor in confidence and in accordance with the Data Protection Legislation. Note that Applicants have the right to lodge a complaint with the Office of the Data Protection Commissioner if they believe that the processing of their data has been unlawful.

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8. DECLARATION AND AUTHORISATION

I have read the Prospectus which explains that Franklin Templeton Fund Management Limited, may use the Delivery versus Payment exemption during a one day window and may not therefore treat money received from me on subscriptions and payable to me on redemptions as "client money" for that period. In those circumstances, I understand that there is a risk of loss or delay in the return to me of any money not held as "client money" in the event of the insolvency of Franklin Templeton Fund Management Limited. I consent to Franklin Templeton Fund Management Limited, using this Delivery versus Payment exemption.

Please tick this box if you would like a copy of the full Prospectus for Franklin Templeton Funds II.

I confirm that I have received the latest version of the Key Investor Information Document (KIID) for the Class X Shares of the fund(s) selected in section 3, as well as the Supplementary Information Document (SID) relating to the Franklin Templeton Funds II. See section 7 for details of how to receive the KIID and SID.

I declare that the information given in this application form is accurate and complete to the best of my knowledge and belief and I will notify Franklin Templeton if it alters.

If there are other holders/signatories, please attach a list of authorised signatories – we will seek to verify all individuals on the list.

1st Signatory

Signature

Print Name

Date

2nd Signatory

Signature

Print Name

Date

YOUR FINANCIAL ADVISER'S DETAIL *(to be completed by the adviser)*

Advisers stamp – please quote your unique agency number if known.

Please tick this box if you have not given advice to your client.

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9. APPLICATION CHECKLIST

Important: Please read the following as incomplete applications may have to be returned. Note that accounts for minors (children under the age of 18) must be registered in the name of an adult and designated in favour of the minor using their initials.

1. Have you completed in full the Personal Details information in section 1?
2. If there are more than two holders, have their personal details been supplied on a separate sheet?
3. Have you selected the fund(s) in which you wish to invest and the amount you wish to invest per fund?
4. If you want income paid out to you (income shares only), have you completed the income payment section overleaf? Your income, where applicable, will automatically be reinvested to purchase further shares in the fund(s) if you do not tick the income payment box.
5. Have all holders signed and dated the application form?
6. Have you received the latest version of the KIID and SID? If you have not received the KIID and SID, or you are unsure as to whether they are the appropriate or most up-to-date versions, please call our Investor Services Team on **0330 123 3790 (overseas +44 203 528 4140)**. Alternatively, the latest version of the SID and the KIIDs for all funds and share classes are available at www.leggmasonglobal.com/KIID.
7. If you are an entity, have you completed the self-certification appended to this form?

Please return your application form to:

**Franklin Templeton,
PO Box 563, Darlington, DL1 9ZF**

If you have any questions relating to your application, please call our Investor Services Team on **0330 123 3790 (overseas +44 203 528 4140)**. Please note that we will not provide you with investment advice. If you need help making an investment decision, you should contact your financial advisor.

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Entity Self-Certification



Tax Regulations¹ require us to collect certain information about each investor's tax residency and tax classifications. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account(s) with the relevant tax authorities. Please complete all sections below as directed in relation to the entity applying for shares in Franklin Templeton Funds II (the "Applicant"). If you have any questions about the Applicant's classifications, please contact your tax advisor. **Please see notes overleaf for key definitions.** Should any information provided change in the future, please ensure you advise us of the changes promptly.

IN ORDER FOR THIS FORM TO BE VALID ALL SECTIONS 1 TO 4 MUST BE COMPLETED

Section 1. TAX RESIDENCY

If the Applicant has more than one country of tax residency, please complete one self-certification form for each country.

- 1.1. Please state the country in which the Applicant is resident for tax purposes:
- 1.2. Please provide us with the Applicant's Tax Identification Number:

Section 2A. APPLICANT'S CLASSIFICATION UNDER FATCA²

Please tick one box only in this section, with reference to the tax residency stated in box 1.1.

If the Applicant is a Financial Institution⁵, please specify which type:

- 2A.1. UK Financial Institution⁵ or a Partner Jurisdiction Financial Institution⁶
- 2A.2. Participating Foreign Financial Institution (in a non-IGA jurisdiction⁷)
- 2A.3. Non-Participating Foreign Financial Institution⁸ (in a non-IGA jurisdiction⁷)
- 2A.4. Financial Institution resident in the USA or in a US Territory⁹
- 2A.5. Exempt Beneficial Owner¹⁰
- 2A.6. Deemed Compliant Foreign Financial Institution¹¹ (besides those listed above)

If the Applicant is not a Financial Institution⁵, please specify the entity's FATCA status below:

- 2A.7. Active Non-Financial Foreign Entity¹²
- 2A.8. Passive Non-Financial Foreign Entity¹³
(If you tick this box, please include individual self-certification forms for each of your Controlling Persons⁴)

Section 2B. COMPLETE THIS SECTION ONLY IF THE APPLICANT IS A U.S. TAX RESIDENT (box 1.1)

Tick this box if the Applicant is any of the following and therefore not a Specified US Person¹⁶

- A regularly traded corporation on a recognised stock exchange
- Any corporation that is a member of the same expanded affiliated group as a regularly traded corporation on a recognised stock exchange
- A government entity
- Any bank as defined in section 581 of the U.S. Internal Revenue Code
- A retirement plan under section 7701(a)(37), or exempt organization under section 501(a) of the U.S. Internal Revenue Code
- OR any other exclusion listed in Explanatory Note 16

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Entity Self-Certification



Section 3. APPLICANT'S CLASSIFICATION UNDER CRS²

Please tick one box only in this section, with reference to the tax residency stated in box 1.1.

- 3.1. Financial Institution¹⁷
(this includes Non-Reporting Financial Institutions¹⁸ such as a pension scheme, government entity, international organisation and other entities listed in Explanatory Note 18)
- 3.2. A professionally managed Investment Entity²³ outside of a CRS Participating Jurisdiction²⁴
(If this box is ticked, please include individual self-certification forms for each of your Controlling Persons⁴)
- 3.3. Active Non-Financial Entity²⁰ which is regularly traded on an established securities market or affiliated thereto, a Governmental Entity or an International Organisation
- 3.4. Active Non-Financial Entity²⁰
(other than those listed in 3.3. above)
- 3.5. Passive Non-Financial Entity²¹
(If you tick this box, please include individual self-certification forms for each of your Controlling Persons⁴)

Section 4. DECLARATION FOR FATCA AND CRS²

By signing this application, the Applicant agrees to promptly provide the Franklin Templeton Fund Management Limited or its delegates the information, documents and certifications concerning the Applicant's identity, tax residency, ownership, tax status, tax payer identification numbers, business, control, beneficial owners and other information (collectively "Tax Information") as requested from time to time by Franklin Templeton Fund Management Limited or its delegates.

The Applicant represents and warrants the completeness and accuracy of such Tax Information (as of the date of submission) and authorises Franklin Templeton Fund Management Limited to act upon such Tax Information in good faith, including disclosing or submitting such Tax Information to the relevant tax authorities.

Franklin Templeton Fund Management Limited shall have no obligation to investigate the truth, accuracy or completeness of the Tax Information provided by the Applicant and the Applicant will indemnify and, on demand, hold the Company harmless from any liability resulting from its failure to provide complete and accurate Tax Information.

The Applicant agrees to notify Franklin Templeton Fund Management Limited immediately in the event that the information in this self-certification form changes (including any changes to Controlling Persons).

Signature:

Signed by (please print name):

On Behalf of (Applicant name):

Position (in organisation):

Date:

EXPLANATORY NOTES

The following explanatory notes are based on Tax Regulations as implemented in the UK. If you have any questions about the Applicant's classification, please contact your tax adviser.

Definitions common to FATCA and CRS

¹ Tax Regulations

The term "tax regulations" refers to regulations created to enable automatic exchange of information and include Foreign Account Tax Compliance Act (FATCA), and the OECD Common Reporting Standard (CRS) for Automatic Exchange of Financial Account Information².

² FATCA and CRS

FATCA

FATCA regulations in sections 1471 to 1474 of the US Internal Revenue Code and the Treasury regulations and official guidance issued there under, as amended from time to time. FATCA regulations have been adopted in the UK by The International Tax Compliance Regulations 2015.

CRS

The OECD Common Reporting Standard (CRS) which has been adopted in the UK by The International Tax Compliance Regulations 2015.

³ Non-Profit Organisation

An entity that meets ALL of the following criteria:

- (i) it is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;
- (ii) it is exempt from income tax in its country of residence;
- (iii) it has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
- (iv) the applicable laws of the entity's country of residence or the entity's formation documents do not permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of the entity's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and
- (v) the applicable laws of the entity's country of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organisation, or escheat to the government of the entity's country of residence or any political sub division thereof.

⁴ Controlling Persons

The term "Controlling Persons" means the natural persons who exercise control over an Entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term "Controlling Persons" must be interpreted in a manner consistent with the Financial Action Task Force Recommendations.

"Control" over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest in the Entity. A "control ownership interest" depends on the ownership structure of the legal person and is usually identified on the basis of a threshold applying a risk-based approach (e.g. any person(s) owning more than a certain percentage of the legal person, such as 25%). Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is identified as exercising control of the Entity, the Controlling Person(s) of the Entity will be the natural person(s) who holds the position of senior managing official.

Classifications under FATCA

⁵ Financial Institution

The term "Financial Institution" means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company as defined for the purposes of FATCA². Please see the relevant Tax Regulations for the classification definitions that apply to Financial Institutions.

⁶ Partner Jurisdiction Financial Institution

A Partner Jurisdiction Financial Institution includes (a) any Financial Institution resident in the UK, but excluding any branches of such Financial Institution that are located outside the UK and (b) any UK branch of a Financial Institution not resident in the UK. For these purposes, "Partner Jurisdiction" means any jurisdiction that has in effect an agreement with the US to facilitate the implementation of FATCA.

⁷ Non-IGA jurisdiction

A non-IGA jurisdiction is one where there is no Model 1 or 2 Intergovernmental Agreement in place with the US in respect of FATCA².

EXPLANATORY NOTES (continued)

⁸ Non-Participating Foreign Financial Institution (NPFFI)

The term "Non-participating Foreign Financial Institution" means a non-participating FFI, as that term is defined in relevant U.S. Treasury Regulations, but does not include a United Kingdom Financial Institution or other Partner Jurisdiction Financial Institution other than a Financial Institution identified as a Non-participating Financial Institution pursuant to a determination by IRS or HMRC that there is significant non-compliance with FATCA obligations.

⁹ US Territory

This term means American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, the Commonwealth of Puerto Rico or the US Virgin Islands.

¹⁰ Exempt Beneficial Owner

The term "Exempt Beneficial Owner" means

- (i) a UK Governmental Organisation;
- (ii) an International Organisation (examples of which include The International Monetary Fund, The World Bank, The International Bank for Reconstruction and Development and The European Community – for a full list please see the relevant guidance issued by HMRC, or the IRS);
- (iii) a Central Bank; or
- (iv) a UK registered pension scheme, or non-UK pension scheme falling within the definition of Exempt Beneficial Owner for the purpose of FATCA.

¹¹ Deemed Compliant Foreign Financial Institution

The term "Deemed Compliant Foreign Financial Institution" means

- (i) Those entities classified as such in Annex II of the UK IGA, which includes Non-profit Organisations³ and Financial Institutions⁵ with a Local Client Base, or
- (ii) Entities which otherwise qualify as such under the FATCA Regulations.

¹² Active Non-Financial Foreign Entity (NFFE)

An Active NFFE is any Non-Financial Foreign Entity¹⁴ that meets one of the following criteria:

- a) Less than 50% of the NFFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- b) The stock of the NFFE is regularly traded on an established securities market or the NFFE is a Related Entity¹⁵ of an Entity the stock of which is traded on an established securities market;
- c) The NFFE is organized in a U.S. Territory and all of the owners of the payee are bona fide residents of that U.S. Territory;
- d) The NFFE is a non-U.S. government, a government of a U.S. Territory, an international organization, a non-U.S. central bank of issue, or an Entity wholly owned by one or more of the foregoing;
- e) Substantially all of the activities of the NFFE consist of holding (in whole or in part) the outstanding stock of, and providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an NFFE shall not qualify for this status if the NFFE functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes. In these circumstances, the Entity will be a Passive NFFE¹³.
- f) The NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution; provided, that the NFFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFFE;
- g) The NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- h) The NFFE primarily engages in financing and hedging transactions with or for Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity¹⁵ provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
- i) The Entity is a Non-Profit organisation³, or
- j) The NFFE is an "Excepted NFFE" as described in relevant U.S. Treasury Regulations.

¹³ Passive Non-Financial Foreign Entity (PNFFE)

A Passive NFFE is any Non-Financial Foreign Entity¹⁴ that is not an Active NFFE¹².

¹⁴ Non-Financial Foreign Entity (NFFE)

The term "NFFE" means any non-US Entity that is not treated as a Financial Institution⁵.

¹⁵ Related Entity

An entity is a Related Entity of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose control includes direct or indirect ownership of more than 50% of the vote or value in an entity.

EXPLANATORY NOTES *(continued)*

¹⁶ Specified US Person

The term "Specified U.S. Person" means a U.S. Person, other than:

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the Securities Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State; or
- (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code.

Classifications under CRS

¹⁷ Financial Institution

The term "Financial Institution" means a Custodial Institution, a Depository Institution, an Investment Entity²³, or a Specified Insurance Company.

¹⁸ Non-Reporting Financial Institution

The term "Non-Reporting Financial Institution" means any Financial Institution which is:

- a) a Governmental Entity, International Organisation or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a Specified Insurance Company, Custodial Institution, or Depository Institution;
- b) a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; a Pension Fund of a Governmental Entity, International Organisation or Central Bank; or a Qualified Credit Card Issuer;
- c) any other Entity that presents a low risk of being used to evade tax, has substantially similar characteristics to any of the Entities described in (a) or (b) above, and is included in the list of Non-Reporting Financial Institutions provided to the European Commission by the UK;
- d) an Exempt Collective Investment Vehicle; or
- e) a trust to the extent that the trustee of the trust is a Reporting Financial Institution and reports all information required to be reported pursuant to Section I with respect to all Reportable Accounts of the trust.

¹⁹ Related Entity

An Entity is a "Related Entity" of another Entity if (i) either Entity controls the other Entity; (ii) the two Entities are under common control; or (iii) the two Entities are Investment Entities, are under common management, and such management fulfils the due diligence obligations of such Investment Entities. For this purpose control includes direct or indirect ownership of more than 50% of the vote and value in an Entity.

EXPLANATORY NOTES (continued)

20 Active Non-Financial Entity (NFE)

The term "Active NFE" means any NFE²² that meets any of the following criteria:

- a) less than 50% of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- b) the stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity¹⁹ of an Entity the stock of which is regularly traded on an established securities market;
- c) the NFE is a Governmental Entity, an International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing;
- d) substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes. . In these circumstances, the Entity will be a Passive NFE²¹.
- e) the NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFE;
- f) the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- g) the NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution, or
- h) the Entity is a non-profit organisation³.

21 Passive Non-Financial Entity (PNFE)

A Passive NFE is any Non-Financial Entity²² that is not an Active NFE, or an Investment Entity²³ that is not a Participating Jurisdiction²⁴ Financial Institution.

22 Non-Financial Entity (NFE)

The term "NFE" means any Entity that is not a Financial Institution¹⁷.

23 Investment Entity

The term "Investment Entity" means any Entity:

- a) which primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:
 - (i) trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
 - (ii) individual and collective portfolio management; or
 - (iii) otherwise investing, administering, or managing Financial Assets or money on behalf of other persons; or
- b) the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets, if the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or an Investment Entity described in subparagraph A(6)(a) of the EU Directive on Administrative Co-operation 2014/107/EU.

An Entity is treated as primarily conducting as a business one or more of the activities described in subparagraph A(6)(a), or an Entity's gross income is primarily attributable to investing, reinvesting, or trading in Financial Assets for the purposes of subparagraph A(6)(b), if the Entity's gross income attributable to the relevant activities equals or exceeds 50% of the Entity's gross income during the shorter of:

- (i) the three-year period ending on 31 December of the year preceding the year in which the determination is made; or
- (ii) the period during which the Entity has been in existence.

The term "Investment Entity" does not include an Entity that is an Active NFE because that Entity meets any of the criteria in subparagraphs D(8)(d) through (g) of the EU Directive on Administrative Co-operation 2014/107/EU.

This paragraph shall be interpreted in a manner consistent with similar language set forth in the definition of "financial institution" in the Financial Action Task Force Recommendations.

24 Participating Jurisdiction

The term "Participating Jurisdiction" means a jurisdiction which has an agreement in place to exchange information in accordance with the OECD Common Reporting Standard.