

# Share Class Conversion Request Form

## Legg Mason & Affiliate Employees and Board Members Only

Complete this form to convert existing Legg Mason Funds<sup>1</sup> and Royce Fund share positions currently held directly with the fund family (not with another financial institution) to the eligible Institutional, Investment or Service Share Class. If you are unsure if your account is held with the fund family or with another Financial Institution call Shareholder Services, at 1-800-822-5544.

### 1 CONTACT INFORMATION

Once the fund positions in your account have been converted, you will be contacted by Shareholder Services with instructions on how to invest additional money and access your account. Please let us know how best to contact you:

Daytime Phone Number \_\_\_\_\_ Email Address \_\_\_\_\_

### 2 ELIGIBILITY INFORMATION (CHECK ONE)

Regular Legg Mason or affiliate employee. Affiliate name: \_\_\_\_\_  
(If unsure of Affiliate name contact your HR representative).

Immediate family member<sup>2</sup> of Legg Mason or affiliate employee:  
Employee Name \_\_\_\_\_ Affiliate Name \_\_\_\_\_

Current or former Board Member. Board Name: \_\_\_\_\_

Immediate family member<sup>2</sup> of a current or former Board Member:  
Board Member Name \_\_\_\_\_ Board Name \_\_\_\_\_

### 3 EXISTING DIRECT FUND ACCOUNT<sup>3</sup> INFORMATION & SHARE CLASS CONVERSION ELECTION

Complete the following information for each active direct fund account you currently have with Legg Mason Funds or Royce Funds. Bank instructions, interested party information and existing systematic plans currently established on your existing direct fund account will be automatically applied to the new share class fund. If you do not want your systematic plan(s) to continue, please indicate this below.

Fund Family \_\_\_\_\_ Account Number \_\_\_\_\_ Account Registration \_\_\_\_\_

No, I do not want to continue any existing systematic plans established on this account.

<sup>1</sup> For purposes of this form, the term "Legg Mason Funds" refers to the Legg Mason and Western Asset families of funds.

<sup>2</sup> Immediate family members consist of eligible person's spouse and children under the age of 21.

<sup>3</sup> Direct Fund accounts are accounts held with individual fund families and are not associated with a Brokerage Firm or a Financial Advisor.

Office Use Only: Dealer/Branch/Account Number: 95000/ _____ / _____		Rep: 034 / Funds Investor Services
Date Received: _____	Cum Disc: _____	Employee: <input type="checkbox"/> Yes <input type="checkbox"/> No

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Fund Family Account Number Account Registration

No, I do not want to continue any existing systematic plans established on this account.

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Fund Family Account Number Account Registration

No, I do not want to continue any existing systematic plans established on this account.

**YES, I elect to convert all existing Legg Mason Funds and Royce Fund positions held in the accounts listed in Section 3 of this form to the appropriate Institutional, I, Investment or Service Share Class.**

## 4 SIGNATURE

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Primary Account Owner Name Signature Date

Joint Account Owner Name Signature Date

## 5 FAX THIS SHARE CLASS CONVERSION REQUEST TO: 1-866-363-5867

Once the fund positions in your account have been converted, you will be contacted via phone or email. At that time, you will receive instructions from Shareholder Services on how to invest (whether through ACH or Check) and how to access your account online.

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### CONTACT INFORMATION

**Phone:** To speak with an investment professional regarding any of our funds, please call toll-free: 1-800-822-5544, Monday through Friday, 8:00 am – 5:30 pm (EST)

**Fax:** 1-866-363-5867

**Internet:** [www.leggmasonfunds.com](http://www.leggmasonfunds.com)  
[www.lminstitutionalfunds.com](http://www.lminstitutionalfunds.com) for on-line account access

An investor should consider a Fund's investment objectives, risks, charges and expenses carefully before investing. For a free prospectus, which contains this and other information on any Legg Mason Fund, visit [www.leggmasonfunds.com](http://www.leggmasonfunds.com). An investor should read the prospectus carefully before investing.