

LEGG MASON FUNDS<sup>1</sup>

# INHERITED IRA TRANSFER OF ASSETS FORM

*Use this form to request an inherited IRA trustee-to-trustee transfer of assets. This non-reportable transaction occurs between like accounts – Inherited Traditional IRA to Inherited Traditional IRA, or Inherited Roth IRA to Inherited Roth IRA. Note that Inherited SEP IRAs (and Inherited SIMPLE IRAs, after the required two year holding period) can be transferred into a Traditional Inherited IRA.*

*Based on your instructions, Legg Mason Funds and BNY Mellon Investment Servicing Trust Company will initiate the transfer for you. Incomplete information will result in delays in processing your request. If you need assistance completing this form, please contact Shareholder Services at 1-800-822-5544.*

*Do not use this form if you are a spouse beneficiary who wishes to move their inherited assets into an IRA in their own name, or if you are a non-spouse beneficiary who wishes to move your inheritance from a qualified plan or 403(b) into an inherited IRA (contact the plan's administrator to facilitate a non-spousal beneficiary direct rollover).*

If you have any questions, please call Shareholder Services at 1-800-822-5544 Monday through Friday, 8:00 am - 5:30 pm (ET)

## **1** INHERITED IRA OWNER INFORMATION

Name Daytime Telephone

Address

City State Zip Code

Social Security Number

## **2** ORIGINAL IRA OWNER'S INFORMATION

Original IRA owner's full name

Passed on this date and was born on

<sup>1</sup> For the purposes of this form, the term "Legg Mason Funds" refers to the Legg Mason and Western Asset families of funds.

### 3 INVESTMENT INSTRUCTIONS

Complete items A, B, C.

- A.  I am opening a new inherited IRA and have attached the required inherited IRA application.  
 Deposit the proceeds into my existing inherited IRA. Account Number: \_\_\_\_\_
- B. Type of account transferring into:  
 Traditional Inherited IRA (including SEP, or SIMPLE inherited IRA)  
 Roth Inherited IRA
- C. Invest as follows:

\_\_\_\_\_  
Fund Name/Share Class Percentage (%)

\_\_\_\_\_  
Fund Name/Share Class Percentage (%)

\_\_\_\_\_  
Fund Name/Share Class Percentage (%)  
(Must equal 100%)

### 4 CURRENT CUSTODIAN AND ACCOUNT INFORMATION

Type of account you are transferring from (check one):

- Inherited Traditional IRA  
 Inherited Roth IRA  
 SEP Inherited IRA  
 SIMPLE Inherited IRA (after 2 year required period)

**Note:** The current custodian may require a Medallion Signature Guarantee to process your transfer request. Please see the Participant Authorization section for an explanation of the Medallion Signature Guarantee.

\_\_\_\_\_  
Name of current custodian

\_\_\_\_\_  
Address

\_\_\_\_\_  
City State Zip code

\_\_\_\_\_  
Contact name Telephone number

Investment to transfer

Account number

Share class

CUSIP number

Liquidate Entire Account     Partial Dollar Amount \$ \_\_\_\_\_ or # of Shares \_\_\_\_\_     Transfer In-Kind

If the inherited IRA has established required minimum distribution ("RMD") payments, please provide the prior year account value.

Prior year end account value \$ \_\_\_\_\_

## 5 PARTICIPANT AUTHORIZATION

I authorize the transfer of assets as noted above to my Legg Mason Funds inherited IRA and authorize my current custodian, Legg Mason Funds and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

Participant's Signature

Date

Medallion Signature Guarantee ("MSG") Stamp and Signature (If required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

**MEDALLION SIGNATURE GUARANTEE STAMP**

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**CONTACT INFORMATION (INCLUDING CUSTOMER COMPLAINTS)**

<b>Mail:</b>	<b>Postal address</b> Legg Mason Funds P.O. Box 9699 Providence, RI 02940-9699	<b>Overnight carrier address</b> Legg Mason Funds 4400 Computer Drive Westborough, MA 01581
<b>Phone:</b>	To speak with an investment professional regarding any of our funds, please call toll-free: 1-800-822-5544, Monday through Friday, 8:00 am – 5:30 pm (ET)	
<b>Fax:</b>	1-508-599-4186	
<b>TeleFund:</b>	To access our telephone account management service, please call toll-free: 1-877-6LMFUNDS (1-877-656-3863)	
<b>Internet:</b>	<a href="http://www.leggmasonfunds.com">www.leggmasonfunds.com</a>	

An investor should consider a Fund's investment objectives, risks, charges and expenses carefully before investing. For a free prospectus, which contains this and other information on any Legg Mason Fund, visit [www.leggmasonfunds.com](http://www.leggmasonfunds.com). An investor should read the prospectus carefully before investing.