

# ADDITIONAL APPLICATION FOR UNITS

## Section 1. Investor details (for existing investors only)

**Responsible Entity** - Legg Mason Asset Management Australia Limited (ABN 76 004 835 849, AFSL 240827) ("Legg Mason")

Please mail all Applications to :  
RBC I&TS  
C/- Shareholder Services  
GPO Box 4471  
Sydney, NSW 2001  
Fax: +61 (02) 8262 5492

**Investor** (the "Applicant")

**Investor account code**  
(unless initial application,  
please complete this part)

**ABN**  
(if applicable)

**Registered address**  
(must not be a PO Box)

## Section 2. Payment method

**How will your funds be transferred to RBC I&TS?** (Please tick one box)

Cheque made payable to "Legg Mason Asset Mgt Aus Ltd Application Account"

Electronic Funds Transfer \*

**Bank name:** ANZ Bank  
**Account:** Legg Mason Asset Mgt Aus Ltd Application Account  
**BIC No.:** ANZBAU3M  
**BSB:** 012 003  
**Account Number:** 8366 25641

\*Please ensure that your Application Form has been submitted prior to making a funds transfer and that the Investor Name is included as a Reference.

Transfer from the following Legg Mason Fund

## Section 3. Investment information

The applicant applies for units in the following funds

NAME OF FUND AND CLASS OR UNITS	AMOUNT TO BE INVESTED	Income	
		REINVEST	DISTRIBUTE to nominated account
<b>Legg Mason Brandywine Global Fixed Income Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Brandywine Global Opportunistic Fixed Income Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Brandywine Global Income Optimiser Fund</b> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Diversified Growth Fund</b> <input type="checkbox"/> Class A units	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Core Equity Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Emerging Markets Fund</b> <input type="checkbox"/> Class A units	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Equity Income Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Ethical Income Fund</b> <input type="checkbox"/> Class A units	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Ethical Values With Income Fund</b> <input type="checkbox"/> Class A units	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Global Long-Term Unconstrained Fund</b> <input type="checkbox"/> Class A units	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Diversified Income Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Property Securities Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Real Income Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Small Companies Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Tactical Allocation Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Martin Currie Select Opportunities Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason QS Investors Global Equity Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Western Asset Australian Bond Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Western Asset Global Bond Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Western Asset Cash Plus Fund</b> <input type="checkbox"/> Class A units <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Western Asset Cash Fund#</b> <input type="checkbox"/> Class X units*	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Legg Mason Western Asset Macro Opportunities Bond Fund</b> <input type="checkbox"/> Class A units	\$	<input type="checkbox"/>	<input type="checkbox"/>

<sup>1</sup> Minimum additional investment is \$5,000 for Class A units. \* In order to invest in Class X units, investor must meet the Wholesale eligibility requirements set out in section 4.

**In applying for additional units in any of the Fund, the applicant acknowledges and agrees that:**

- they have received, read and understood the latest copy of the relevant PDS and Additional Information Booklet or Information Memorandum (available at [www.leggmason.com.au](http://www.leggmason.com.au)) to which this "Application For Units" relates in Australia and that this application is subject to the terms of that PDS/Information Memorandum;
- this application is subject to the provisions of the Constitution of the relevant Fund registered with the Australian Securities & Investments Commission;
- <sup>2</sup> • the Applicant is more than 18 years of age;

- in making a decision to invest in the relevant Fund they rely solely on the information and representations contained in the relevant PDS/Information Memorandum;
- if they do not indicate which class of units they intend to acquire, they will be issued with Class A units or, where only one class of units is offered, that class of units;
- if units are issued for which payment has not been received, the Applicant's units will become void and the Applicant agrees to indemnify Legg Mason and the Fund all reasonable costs, expenses and/or losses in such circumstances;
- where units become void because payment has not been received, the Applicant is treated as never having been issued those units;
- they have supplied accurate and correct information in response to and in support of this application and the Applicant has the power to invest in the Fund; and they will indemnify Legg Mason on demand for any loss suffered by Legg Mason as a result of relying on incorrect information provided by the Applicant;
- they irrevocably appoint Legg Mason as their attorney to redeem on the Applicant's behalf at Legg Mason's absolute discretion all or some of the units in the Fund that the Applicant holds from the day on which the Applicant ceased to be an Eligible Investor and to do all things including executing or completing any documents on the Applicant's behalf to effect such a redemption. In doing so, the Applicant agrees to indemnify Legg Mason against any loss, damages or expenses incurred by Legg Mason in acting as the Applicant's attorney.
- Legg Mason and the Custodian of the Fund may request, in future, additional information from the Applicant to verify the identity of the Applicant and any underlying beneficial owner of units in the Fund and the source of any payment and that, where Legg Mason or the Custodian of the Fund requests such information from the Applicant, processing of applications or withdrawals may be delayed or suspended until the requested information in a form satisfactory to Legg Mason and/or the Custodian (as the case may be) is provided by the Applicant.

## Section 4. Wholesale eligibility to invest (Class X units)

In applying for Class X units, the Applicant must be an Wholesale Eligible Investor. Wholesale Eligible Investors are wholesale clients as defined in the Corporations Act - that is, a person that meets the requirements in any one or more of paragraphs (a) to (j) below. The Applicant confirms that they qualify as a wholesale client and are eligible to hold units in the Fund(s) on the ground(s) that they: (please tick applicable box or boxes and attach supporting information):

- a  are a trustee of a superannuation fund, approved deposit fund, pooled superannuation fund or public sector superannuation scheme (within the meaning of the Superannuation Industry (Supervision) Act 1993) that has net assets of at least \$10,000,000; or
- b  are applying for at least \$500,000 worth of units in the Fund; or
- c  are applying for units in the Fund where the Applicant holds the same class of units in the Fund for which the Applicant has paid at least \$500,000; or
- d  have or control gross assets of at least \$10,000,000 (including any assets held by an associate of the Applicant or under a trust that the Applicant manages; or
- e  are a body regulated by the Australian Prudential Regulation Authority other than a trustee under paragraph (a); or
- f  are not acquiring units in the Fund in connection with a business and the Applicant has, according to a certificate given by a qualified accountant not more than 24 months before the date of the application (a copy of the certificate must accompany this application):
- net assets of at least \$2.5 million; or
- a gross income for each of the last two financial years of at least \$250,000 a year; or
- g  are an Australian financial services licensee; or
- h  are:
- a listed entity or related body corporate of a listed entity; or
- an exempt public authority; or
- a body corporate, or an unincorporated body, that:
- i carries on a business of investment in financial products, interests in land or other investments; and
- ii for those purposes, invests funds received (directly or indirectly) following an offer or invitation to the public (within the meaning of Section 82 of the Corporations Act), the terms of which are provided for in the funds subscribed to be invested for those purposes; or
- i  are a foreign entity that, if established or incorporated in Australia, would be covered by one of the paragraphs a), d), e), g) or h) above; or
- j  are obtaining the financial product for use in connection with a business employing:
- if the business is or includes the manufacture of goods - at least 100 people; or
- otherwise - at least 20 people.

If the Applicant does not or cannot evidence to the satisfaction of Legg Mason that they are an Eligible Investor, Legg Mason may exercise its discretion not to issue units until it receives such satisfactory evidence or to compulsorily redeem any or all units held by the Applicant at Legg Mason's absolute discretion.

## Section 5. Declaration & signature(s)

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The Applicant acknowledges that an investment in the Fund(s) is not an investment, deposit or other liability of, and is not guaranteed by, Legg Mason (or any other member of the Legg Mason, Inc. group of companies). Investments are subject to risks, including possible delays in payment of withdrawal proceeds and loss of income and capital invested.

**Position** (if applicable)     Director                       Secretary                       Trustee                       Individual

**Signatory Name**

**Signed for and on behalf of the Applicant Signature(s)**

**Dated**  
(dd/mm/yyyy)

**Position** (if applicable)     Director                       Secretary                       Trustee                       Individual

**Signatory Name**

**Signed for and on behalf of the Applicant Signature(s)**

**Dated**  
(dd/mm/yyyy)

**Company Seal** (if applicable)

## Section 6. Adviser Only

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**Title**

**Dealer group name**

**Adviser name**

**Adviser number**

**Adviser telephone** (                      )

**Adviser email**

Please tick if you would like to receive a copy of your client's transactions sent to the email address shown above.